

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. CMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application No.	09/222,336	
	Filing Date	December 28, 1998	
	First Named Inventor	Guy A. Story, Jr.	
	Group Art Unit	2162	
	Examiner Name	Y. Retta	
Total Number of Pages in This Submission	120	Attorney Docket Number	2541P009

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> To Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">         - Check for \$920.00          - Return Receipt Postcard       </div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paul A. Mendonsa, Reg. No. 42,879 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Paul A. Mendonsa</i>
Date	DEC 27, 2001

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Signature	<i>Paul A. Mendonsa</i>	Date	DEC 27, 2001

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**DELIVER IMMEDIATELY!**

**DATE:** January 15, 2002

**DELIVER TO:** Examiner: Yehdega Retta **ART UNIT:** 2162

**FAX NO.:** 1-703-308-1396

**FROM:** Paul A. Mendonsa, Registration No. 42,879

**OUR REF. NO.:** 2541.P009

**NO. OF PAGES:** 22 (including cover sheet)

**APPLICANT:** Guy A. Story, Jr., et al.

**APPLICATION NO.:** 09/222,336 **FILED:** December 28, 1998

**FOR:** LICENSE MANAGEMENT FOR DIGITAL CONTENT

**REMARKS:** Please note that the attached Official Amendment filed 12/27/01 is being re-sent for the examiner's convenience.

**CERTIFICATE OF TRANSMISSION**

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Application No.: 09/222,338 Filing Date: 12/28/98 BSTZ Docket #: 2341P009 Atty/Soc: JHS/PAM/dlh  
Date Mailed: 12/27/01 Docket Due Date(s): 12/28/2001 Client: Audible, Inc.  
Title: LICENSE MANAGEMENT FOR DIGITAL CONTENT

Inventor(s): Story, Kovasdi, Rajasekharan, Jun

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |  |  |
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| <input checked="" type="checkbox"/> Amendment: (15 pgs)                        | <input type="checkbox"/> Information Disclosure Statement & PTO/SB/08 (___ pgs)    |
| <input type="checkbox"/> Appeal Brief & two copies (___ pgs)                   | <input type="checkbox"/> Issue Fee Transmittal (original & copy)                   |
| <input type="checkbox"/> Application: (___ pages w/ cover & abstract)          | <input type="checkbox"/> Notice of Appeal  |
| <input type="checkbox"/> Assignment & Cover Sheet (___ pgs)                    | <input type="checkbox"/> Petition for: ___   |
| <input checked="" type="checkbox"/> Certificate of Mailing                     | <input type="checkbox"/> Request for Continued Examination (RCE)                   |
| <input type="checkbox"/> Continued Prosecution Application (CPA)               | <input type="checkbox"/> Reply Brief (___ pgs)                                     |
| <input type="checkbox"/> Declaration & POA (___ pgs)                           | <input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) |
| <input type="checkbox"/> Drawings: ___ sheets, ___ figures                     | <input type="checkbox"/> Request to Rescind Previous Nonpublication Request        |
| <input type="checkbox"/> Express Mail No: ___                                  | <input type="checkbox"/> Response to Notice of Missing Parts & Formalities Letter  |
| <input checked="" type="checkbox"/> Extension of Time: <u>three (3) months</u> | <input type="checkbox"/> Terminal Disclaimer                                       |
| <input checked="" type="checkbox"/> Fee Transmittal (original & copy)          | <input type="checkbox"/> Transmittal of Formal Drawings                            |
| <input type="checkbox"/> Other: _____  | <input type="checkbox"/> Transmittal of Publication Fee Due                        |
|  | <input checked="" type="checkbox"/> Transmittal Letter                             |
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PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** (\$) 920.00**Complete if Known**

Application No.	09/222,336
Filing Date	December 28, 1998
First Named Inventor	Guy A. Story, Jr.
Examiner Name	Y. Retta
Group/Art Unit	2162
Attorney Docket No.	2541P009

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 02-2666
- Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP
- ☒ Charge Any Additional Fee(s) Required Under 37 CFR §§ 1.18, 1.17, 1.18 and 1.20.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)** (\$) **2. EXTRA CLAIM FEES**

Total Claims Independent Claims:  -  =

Multiple Dependent:

Extra Claims:  X Fee from below:  =

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** (\$) 

\*\*or number previously paid, if greater. For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEE**

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	920.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$) 920.00**SUBMITTED BY**

Name (Print/Type) Paul A. Mendonsa

Registration No. 42,879

(Attorney/Agent)

**Complete (if applicable)**

Telephone (503) 684-6200

Signature

Date

Dec 27 2001

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DATE	DESCRIPTION	ACCT. NO.	AMOUNT
2/26/200	U.S. Patent and Trademark Office extension of time fee of three months for License Management for Digital Content A/N: 09/222,336 Filed: 12/26/1998 Inventors: Story, et al. Examiner: V. Retta/Art Unit: 2162 002541.P009 JHS/PAM/dlh Audible, Inc	9870.1	\$ 920.00

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